

AIR HANDLING UNITS &
HTM 03-01

SPECIALIST CARE NEEDS SPECIALIST AIR

INTERPRETATION & UNDERSTANDING

To separate and interpret guidance from actual legislation in order to assess the need for compliance and the challenges this entails.

DESIGN CONSIDERATIONS

Develop a full and comprehensive design understanding of the guidance given in HTM 03-01 relating to AHU design and its associated component sections

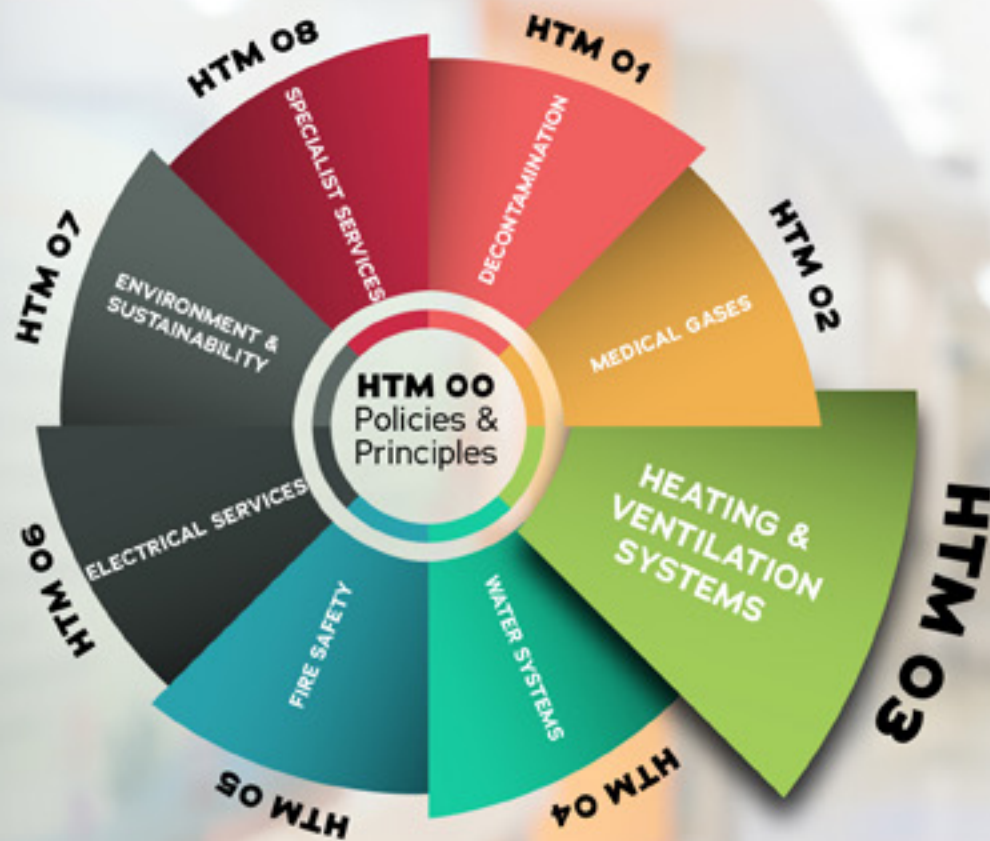
INNOVATIONS HTM 03-01 & THE LAW

Current AHU innovations which may benefit healthcare AHU's and the potential consequences of failing to follow the guidance

WHAT IS HTM?

THE HEALTH TECHNICAL MEMORANDUM

SPECIALIST CARE NEEDS SPECIALIST AIR

THE HEALTH TECHNICAL MEMORANDUM**ADVICE & GUIDANCE**

On the design, installation and operation of specialised building and engineering technology used in the delivery of healthcare

STANDARDS & POLICIES

The focus remains on healthcare specific elements of standards, policies & up to date established best practice

DUTY OF CARE

Healthcare providers have a duty of care to ensure that appropriate governance arrangements are in place and are managed effectively.

CONSIDERATIONS

**HEALTH &
SAFETY**



**MAINTENANCE
& INSPECTION**



**BUILDING REGS
Incl. ErP**



**PATIENT & STAFF
COMFORT**



**CAPITAL &
RUNNING COSTS**



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HEALTH & SAFETY



In healthcare premises, certain activities will necessitate the provision of ventilation equipment with additional special features in order to achieve and maintain specific conditions. These may be needed in order to assist with the treatment of patients or maintain the health and safety of staff.

OPERATING THEATRE
ISOLATION ROOMS
INTENSIVE CARE UNIT
PATIENT ROOMS
FOOD PRODUCTION AREA
ADMIN / STAFF AREAS



← HYGIENE DEMAND LEVEL

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PATIENT & STAFF COMFORT



Patient and staff comfort is based on diverse needs of care teams and patients throughout the facility and includes: temperature, air quality, noise and other special needs like humidification to keep the focus on patient care.

 <p>Infection Control Separate extract / supply airflows & room pressure management.</p>	 <p>Hazard Control Multi filtration stages & microbially inert design.</p>
 <p>Climate Control Heating / cooling and humidity control options.</p>	 <p>Energy Control High efficiency energy recovery and variable speed fans.</p>

MAINTENANCE & INSPECTION



Location and internal access is of primary concern for healthcare and HTM 03-01 specification units to ensure simple cleaning and maintenance. Prevention of dust, bacterial traps, moisture pooling, corrosion and contamination is key.



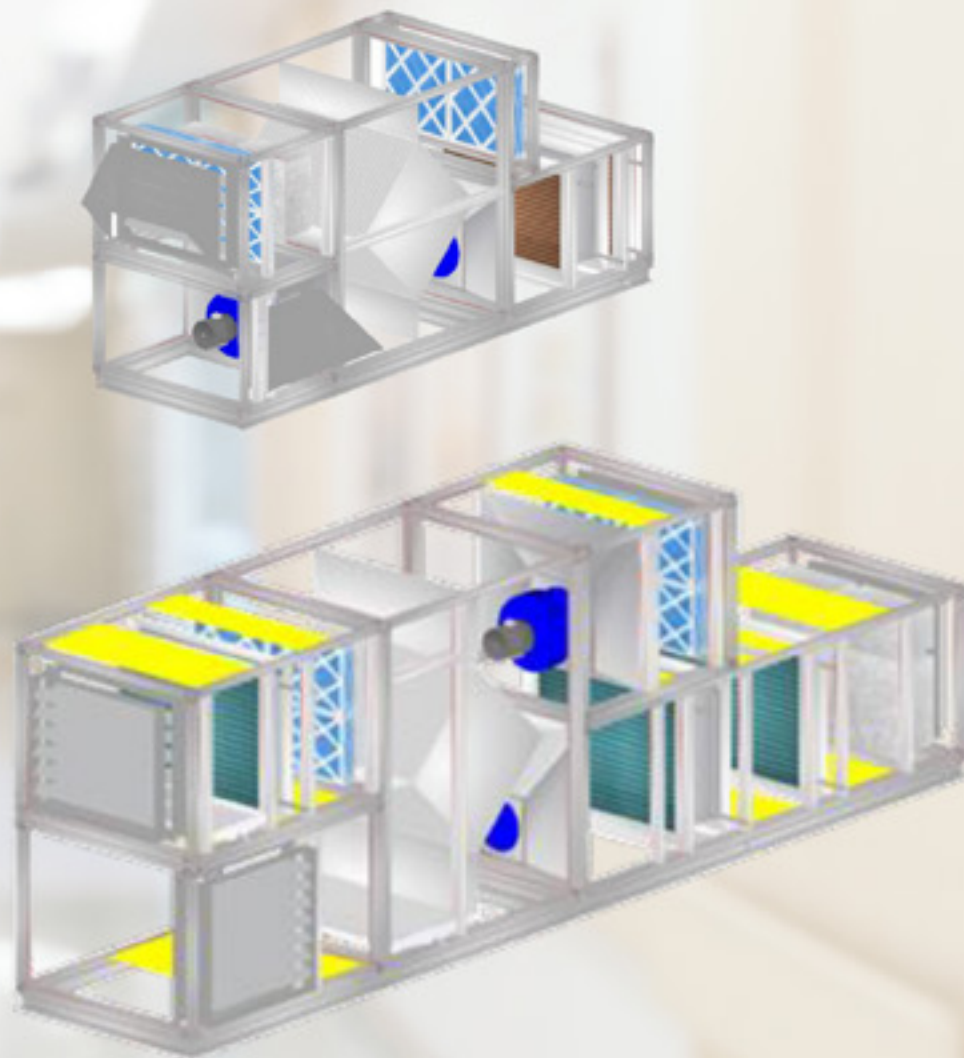
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CAPITAL & RUNNING COSTS



an HTM 03-01 AHU can cost significantly more than a standard AHU performing the same duty.

The additional requirements in HTM 03-01 also mean that units are considerably larger.

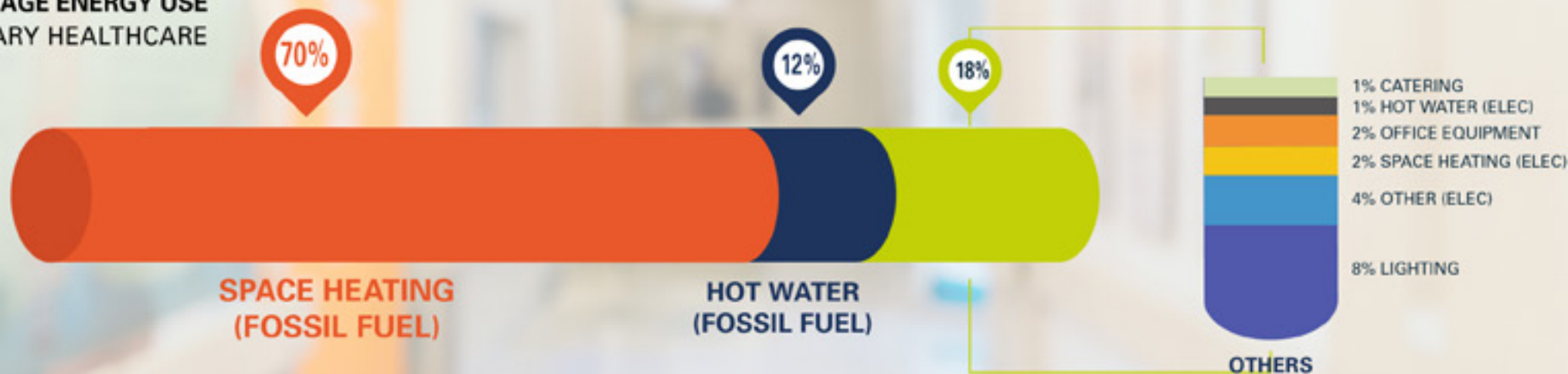


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The UK's healthcare sector spends more than £400 million per year on energy. Unfortunately, a significant proportion of this is wasted, meaning that money is wasted too. [Carbon Trust](#)

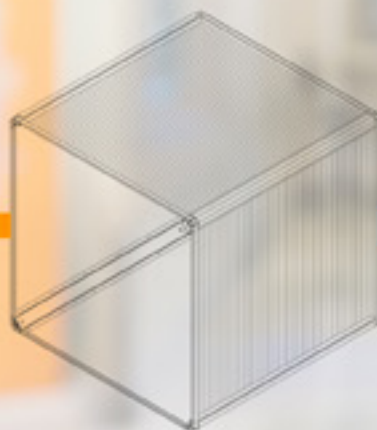
PERCENTAGE ENERGY USE
IN PRIMARY HEALTHCARE



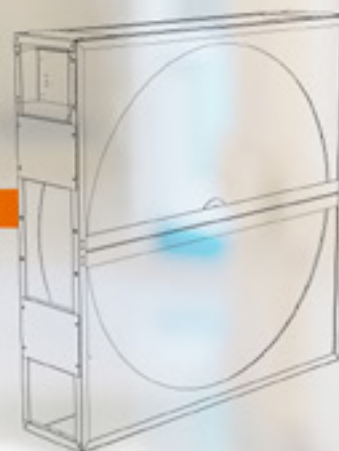
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CONSIDERATIONS

**BUILDING REGS
INCL. ERP**



ErP 2016
67%
recovery



ErP 2018
73%
recovery

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DESIGN PRINCIPLES

OF HTM 03-01

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SPECIALIST CARE NEEDS SPECIALIST AIR

INTERNAL COMPONENTS



- Positive pressure
- Non-combustable
- Corrosion resistant
- Cleanable drainpans
- Energy recovery

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EXTERNAL BUILD



- Structural integrity
- Raised base frame
- Air tightness
- View ports & illumination
- Hinged access doors
- Noise reduction

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SPECIFIED CONDITIONS



Peak heating & cooling load

Air purity levels

Humidity

Condensation risk

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AHU SELECTIONS

OF HTM 03-01

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INLET SECTION




Intake damper with motorised actuator




Extract should be located on a different building face from the supply but as a minimum there should be 4m between them to prevent unwanted recirculation.

FROST COIL



 Frost coil - raises the intake ambient above dew point to protect filters and components from wetting out

 Options for low/medium pressure hot water, steam or electric

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PRIMARY FILTERS



Front withdrawal to
minimise bypass leakage



Upstream side of filter visible for
inspection via viewport



Graded and type tested in accordance with
ISO 16890



Direct reading dial type gauge required

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HEAT RECOVERY



RUN AROUND COIL

68% minimum efficiency

No contamination risk between supply & extract.

Twin, run & standby pump for mission critical areas

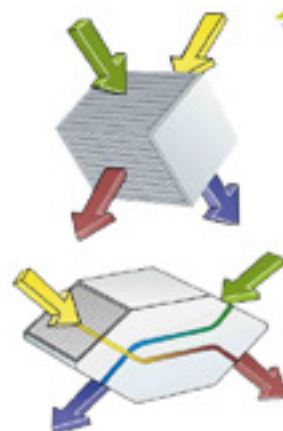
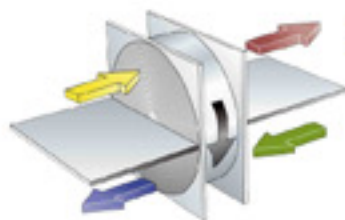


PLATE HEAT EXCHANGER

Up to 80% efficiency & separate airstreams

Low air leakage and high efficiency

Custom built stainless steel drain tray



THERMAL WHEEL

Up to 90% efficiency for less critical areas

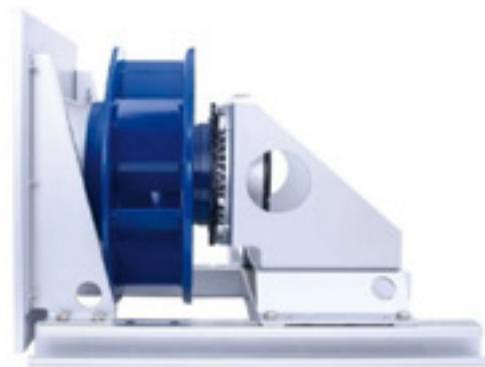
High corrosion resistance.

Low maintenance & operating costs

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**FAN
SECTION**



FANS:

Duty standby or with spare fan assembly
Option for run & standby belt drives

POSITIVE PRESSURE:

All condensate drains under positive pressure

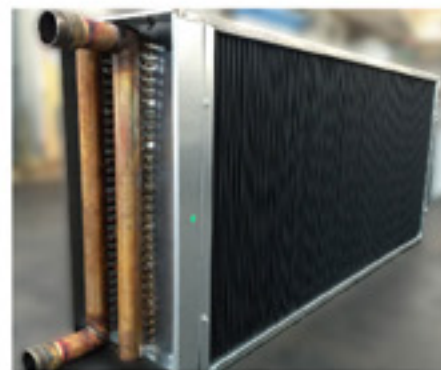
OTHERS:

Thermistors plus options for:

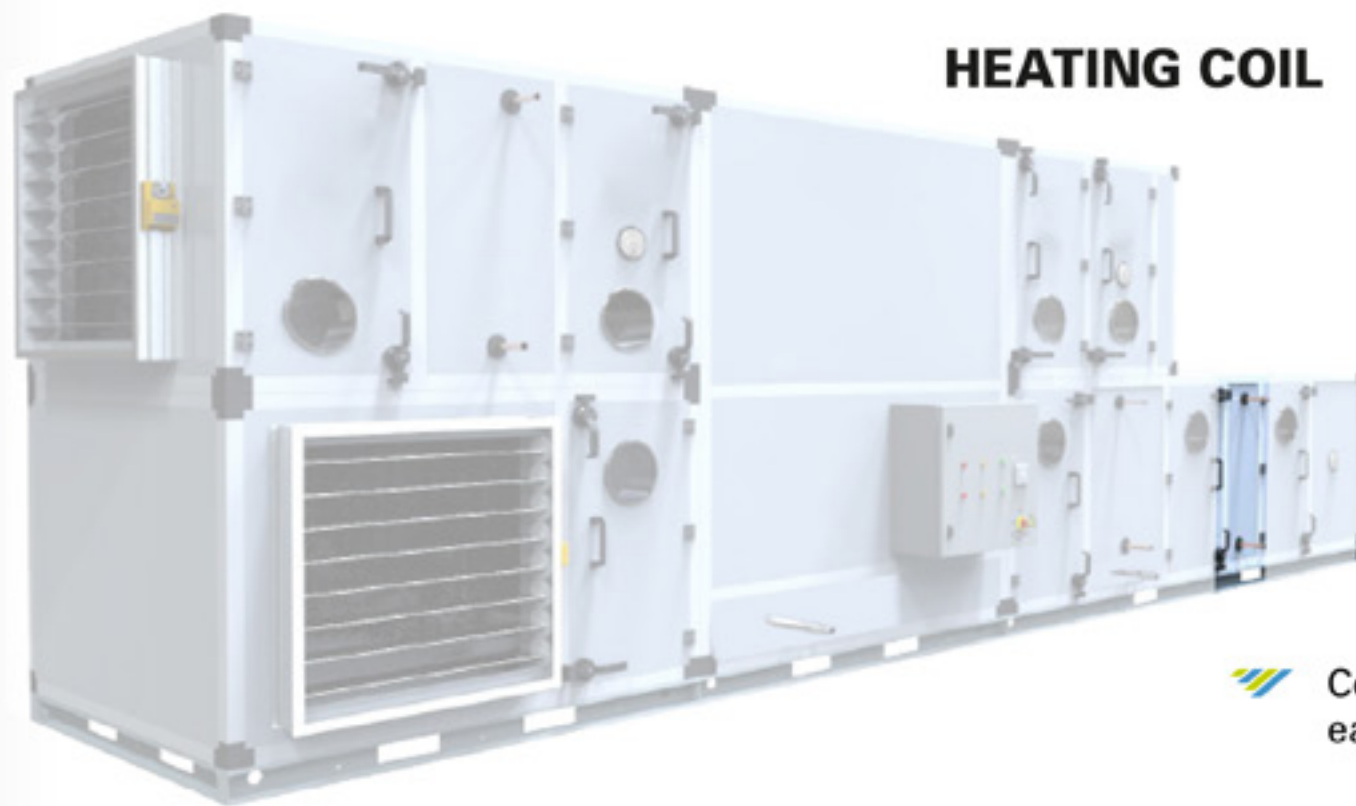
Lifting beams
Fan guards
Diffuser blast plate



**COOLING
COIL**





- Standard construction is from corrosion resistant materials to minimise electrolytic action from condensation including stainless steel casing and option for vinyl coated & electro-plated copper fins
- Sufficient tube spacing allows easy access for decontamination via washdown.
- Removeable eliminator section and independent drainage systems with cleanable or removable draintray
- Options for cold water, DX,



HEATING COIL



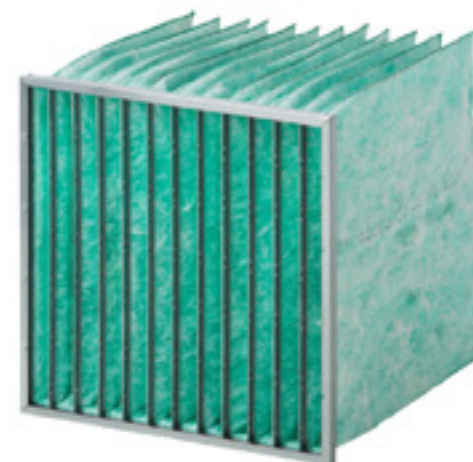
 Copper tube, copper fin construction with easy access for cleaning & decontamination

 Options for low/medium pressure hot water, steam or electric

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SECONDARY FILTRATION



Option for high capacity rigid bag to prevent 'bag dumping' in critical areas

Option for front withdrawal to minimise bypass leakage & for galvanised / stainless / powder coated frames

Graded and type tested in accordance with ISO 16890

Direct reading dial type gauge required

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Data from HTM 03-01 with ISO class based on EVIA (European Ventilation Industry Association) remediation

GENERAL FILTERS

- BS EN 779 Grade - Eurovent Grade - ISO 16890	% Arrestance	Notes and typical healthcare applications
G1 EU1 -	<65	Metal-mesh grease filter
G2 EU2 ISO COURSE $\geq 30\%$	65 to <80	Coarse primary filter
G3 EU3 ISO COURSE $\geq 45\%$	80 to <90	Primary air intake; Return air; Energy recovery device protection
G4 EU4 ISO COURSE $\geq 60\%$	>90	General-purpose tempered air supply







NOTE: EN 13053:2019 "If a single stage filter system is used for supply air, a filter minimum ISO ePM₁ 50% shall be fitted

FINE FILTERS

- BS EN 779 Grade - Eurovent Grade - ISO 16890	% Efficiency	Notes and typical healthcare applications
F5 EU5 ISO ePM ₁₀ $\geq 50\%$	40 to <60	General-purpose panel /bag filter
F6 EU6 ISO ePM _{2.5} $\geq 50\%$	60 to <80	Basic grade bag filter
F7 EU7 ISO ePM ₁ $\geq 50\%$	80 to <90	Medium grade bag or pleated paper Conventional operating theatre supply air
F8 EU8 ISO ePM ₁ $\geq 70\%$	90 to <95	High grade bag or pleated paper
F9 EU9 ISO ePM ₁ $\geq 80\%$	>95	Basic HEPA filter – level 8 clean rooms

UNIT DESIGN



-  All internal components must not support combustion
-  If unit is external, suitable weather protection is required i.e. stainless, aluminium, galv or powder coating
-  Viewing ports should be at a convenient height and be illuminated by fittings to at least IP55 rating
-  Access doors should be a minimum of 500mm wide
-  Base frame should be raised to sufficient height to allow fitment of drain trap
-  Any item requiring a drain to be on the positive pressure side of the fan

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VENTILATION COMPLIANCE

OF HTM 03-01

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SPECIALIST VENTILATION AREAS

The following departments will usually have specialised ventilation requirements (either for a single room or throughout a suite of rooms)

operating department



laser surgery unit



operative imaging unit



intensive treatment unit



infectious diseases isolation unit



immunocompromised patients



manufacturing pharmacy



specialised imaging, X-ray and scanning unit



pathology containment laboratories



mortuary and dissection suite



research laboratories



sterile services department



emerging treatment technologies, including gene therapy & stem cell units



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REDUCED SCOPE AREAS



non-critical

HTM 03-01

**VALUE
ENGINEER**

component choice
layout & size
materials
thermal wheel
lights, viewports

**APPLICATION
SPECIFIC AHU**



general

standard ventilation unit design



COMPLIANCE

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HTM 03-01 & THE LAW

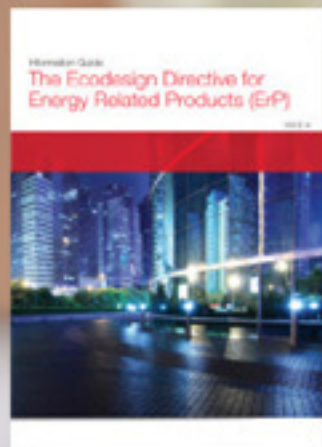
COMPLIANCE



Health & Safety
at Work Act 1974



COSHH Regulations 2002



Ecodesign Directive for
Energy Related Products (ErP)



Part L Building Regs

DESIGN

“ If the ventilation plant has been installed to dilute or contain harmful substances (the definition of which now includes microorganisms), its failure may expose people to unacceptable levels of hazard. Proven failures can give rise to a civil suit against the designers and operators by the individuals who have been affected. This would be in addition to the actions brought as a result of breaching the statutory requirements.

MAINTENANCE

“ The correct operational maintenance and cleaning of the AHU and connecting systems is a statutory requirement and all procedures must be documented and stored for future reference.

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INNOVATIONS

OF HTM 03-01

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MINIMISE DOWNTIME

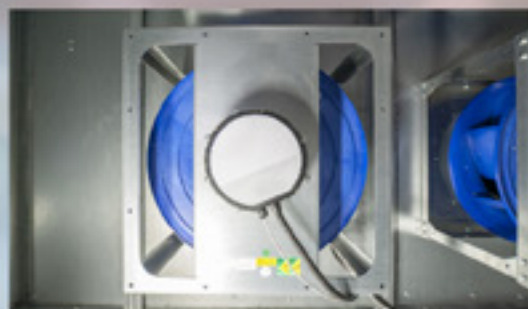
FAN ARRAY



Allows for redundancy in case of failure

Smaller fans, easier to change

COMMANDO PLUGS



Non-skilled maintenance requirement

cost and time saving

UV COIL



Cooling coil & drain pan sterilisation

maintain coil efficiency

HTM 03-01 CPD
SUMMARY

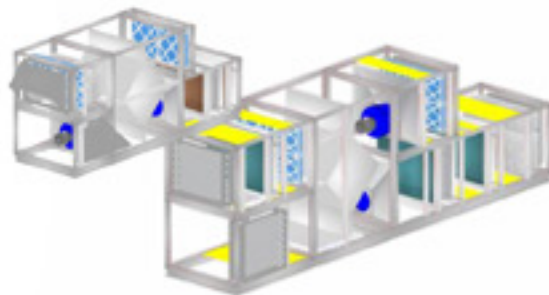
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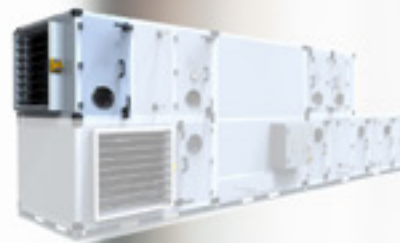
- Advice and guidance
- Installation, operation & maintenance

IMPACTS:



- More expensive
- Larger
- Complex energy & design requirements

DESIGN CONSIDERATIONS:



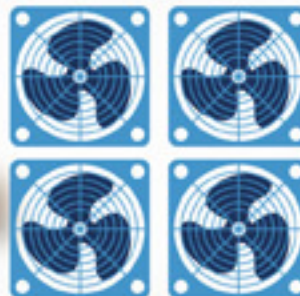
- Design principles
- Framework & component sections

COMPLIANCE:



- Critical and non-critical AHU's
- Consequences of non-compliance

INNOVATIONS:



- technologies available to minimise downtime & improve performance

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